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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Adam J. Katz et al.  
Serial No.: 10/797,371  
Filed: March 9, 2004  
Docket: 30448.77USD1  
Title: ADIPOSE-DERIVED STEM CELLS AND LATTICES

CERTIFICATE UNDER 37 CFR §1.10

"Express Mail" mailing label number: EV647140191US  
Date of Deposit: March 5, 2009

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: Renato Marco P. Domingo

572 East Green Street, Suite 203  
Pasadena, California 91101  
March 5, 2009

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR §1.10
- ☒ Supplemental Information Disclosure Statement (37 C.F.R. §1.97 (c))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibit 498-502
- ☒ A check in the amount of \$180.00 to cover the fee for filing the Information Disclosure Statement
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO

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By: 

Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA



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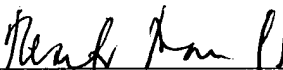


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Adam J. Katz et al. **Examiner:** Celine X. Qian, Ph.D.  
**Serial No.:** 10/797,371 **Group Art Unit:** 1636  
**Filed:** March 9, 2004 **Docket No.:** 30448.77USD1  
**Title:** ADIPOSE-DERIVED STEM CELLS AND LATTICES

**CERTIFICATE UNDER 37 CFR §1.10:**

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 5, 2009.

  
By: Renato Marco P. Dominguez

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(c))**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being filed herein as a supplement to Applicants' March 25, 2004, October 25, 2004, July 7, 2005, August 30, 2005, October 11, 2005, November 8, 2005, March 24, 2006, April 27, 2006, November 28, 2007, December 20, 2007 and May 23, 2008 Information Disclosure Statements which were submitted under 37 C.F.R. §§1.97(b)(3) and (4). The fee for filing an Information Disclosure Statement under 37 C.F.R. 1.97(c) is \$180.00. A check in the amount of \$180.00 is enclosed to cover the fee. In accordance with 37 C.F.R. §1.98(a), copies of Exhibit 498-502 as set forth in the Form 1449 are included herein.

With regard to the above-identified application, the item of information listed on the enclosed Form 1449 is brought to the attention of the Examiner.

- U.S. Patent No. 7,078,232 issued July 18, 2006 – **Exhibit 498**
- Halvorsen, Yuan-Di C. et al., “Thiazolidinediones and Glucocorticoids Synergistically Induce Differentiation of Human Adipose Tissue Stromal Cells: Biochemical, Cellular, and Molecular Analysis,” *Metabolism*, 2001, 50:407-13 – **Exhibit 499**
- Kokai, Lauren E. et al., “The Potential of Adipose-Derived Adult Stem Cells as a Source of Neuronal Progenitor Cells,” *Plastic and Reconstructive Surgery*, 2005, 116:1453-60 – **Exhibit 500**
- Schäffler, Andreas and Christa Büchler, “Concise Review: Adipose Tissue-Derived Stromal Cells – Basic and Clinical Implications for Novel Cell-Based Therapies,” *Stem Cells*, 2007, 25:818-27 – **Exhibit 501**
- U.S. Patent No. 7,470,537 issued December 30, 2008 – **Exhibit 502**

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not “prior art.” Moreover, Applicants do not represent that the reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the reference listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Adam J. Katz et al.  
Serial No. 10/797,371  
Filed: March 9, 2004  
Page 3

No fee, other than the \$180.00 fee under 37 C.F.R. §1.17(p), is deemed necessary in connection with the filing of this Information Disclosure Statement. If any additional fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-0306.

Respectfully submitted,

Sarah B. Adriano

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